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2 **Proposed Methodology for Reducing Bias in Structural MRI Analysis in the Presence of Lesions:**

3 **Data from a Pediatric Traumatic Brain Injury Cohort**

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19 **Word Count:**

20 **Figures:** 3; **Tables:** 1

21 **Supplementary Material:** Supplementary Methods, Supplementary Results, 1 Supplementary Figure

22 **Keywords:** traumatic brain injury, lesion, MRI, FreeSurfer, neuroanatomy

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### Abstract

26 Traumatic brain injury can lead to multiple pathologic features, including brain lesions, which are  
27 visible on magnetic resonance imaging (MRI). These resulting heterogenous lesions can present a  
28 difficulty for several standard approaches to neuroimaging, resulting in bias and error in subsequent  
29 quantitative measurements. Thus, cases presenting with lesions on MRI may be excluded from  
30 analyses, biasing samples across the research field. We outline a potential solution to this issue in  
31 the case of Freesurfer, a popular neuroimaging tool for surface-based segmentation of brain tissue  
32 from structural MRI. The proposed solution involves two-steps, a) Pre-processing: Enantiomorphic  
33 Lesion-Filling and b) Post-processing: Lesion Labelling. We applied this methodology to 14 pediatric  
34 TBI cases which presented with lesions on T1w MRI. Following qualitative inspection of these cases  
35 after implementation of the approach, 8 out of 14 cases were retained as being of sufficient quality.  
36 In brief, we have presented here an adapted pipeline for processing structural MRI (sMRI) of  
37 patients who have experienced a TBI using the Freesurfer software package. This approach aims to  
38 mitigate potential lesion-induced biases that exist beyond the locality of the pathological tissue,  
39 even in the contralesioned hemisphere.

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41 Proposed Methodology for Reducing Bias in Structural MRI Analysis in the Presence of Lesions: Data  
42 from a Pediatric Traumatic Brain Injury Cohort

43 Structural MRI (sMRI) can be utilised to estimate functionally relevant brain ‘damage’ after a  
44 traumatic brain injury (TBI), primarily through the quantification of the morphometry of brain  
45 regions (see [1] for review). These approaches may be more sensitive to subtle effects of injury on  
46 the brain compared to routine visual reporting by neuroradiologists. Therefore, these methods may  
47 better allow the understanding of the neuroanatomical basis of later impairment.

48 The accuracy of these methods, however, are biased by errors introduced during the automated-  
49 processing of sMRI containing gross anatomical lesions and/or pathology. Essentially, morphometric  
50 measures generated for these cases may not be biologically valid for two main reasons; a) gross  
51 pathology (such as encephalomalacic regions) or pathological voxel intensities (such as gliosis or  
52 oedema) can either render boundaries undetectable or discontinuous [2-5], or b) systematic biases  
53 introduced by the presence of pathology on the Freesurfer pipeline (i.e. contralesional hemisphere  
54 biases [6] or atlas registration biases [3, 4]). These potential errors make it difficult to ascertain  
55 whether differences between control and patient morphology are due to an injury-related pathology  
56 or due to inaccuracies in morphometric measures specific to patients with gross lesions [1].

57 Historically, studies of paediatric TBI (pTBI) have excluded cases with major pathology present on  
58 MRI (for instance [7]) due to these potential processing biases. However, this limits the utility of  
59 previous research with the exclusion of these patients risking a systematic bias in sampling. Given  
60 that the location and extent of focal lesions to the brain following a pTBI are seemingly insufficient  
61 to fully explain post-injury neuropsychological deficits [8] (i.e. following early brain injury impaired  
62 executive function occurs irrespective of injury factors such as lesion location [9, 10]) Inclusion of  
63 these lesion cases in research may increase accuracy of prognostic quantitative models and ensure  
64 they generalise to the full spectrum of pathology [1, 2, 5, 6]. Therefore, approaches and/or  
65 methodologies that are robust to the presence of lesions are necessary for future studies.

66 In a recent paper, Diamond and colleagues [2, 5] identified and outlined a potential methodology  
67 with which to ‘optimise’ structural segmentation of sMRI for patients with TBI. This utilised the  
68 Freesurfer pipeline, an automated approach to the surface-based structural segmentation of T1w  
69 MRI. Diamond and colleagues’ [2, 5] approach involves the manual labelling of tissue where the  
70 reconstructed surfaces pass through cortical lesions. However, this post-processing approach, which  
71 results in very focal edits to the surface reconstruction, does not address global algorithmic biases  
72 indicated by the presence of lesions. For instance, in a recent study, we identified that the presence  
73 of simulated lesion pathology, resulted in a small but systematic bias in the contralesional

74 hemisphere, and the magnitude of this bias is seemingly associated with voxel intensities within this  
75 pathology [6]. Diamond and colleagues' approach [2, 5] will not account for this bias.

76 In the current paper, we highlight a potential adjustment to the Freesurfer pipeline to mitigate some  
77 of the observed-issues in surface-based parcellation of the cortex in the presence of traumatic  
78 lesions, particularly the bias in the surface-placement of the contralateral hemisphere to the lesion.

## 79 **Methods**

80 The data used in the current experiment are a subset of an existing dataset of children who have  
81 experienced a TBI between the ages of five and 16 years of age. 114 patients with pTBI were  
82 recruited between 2007 and 2010 into a study on 'Prevention and Treatment of Social Problems  
83 Following TBI in Children and Adolescents'. More detailed descriptions have been published  
84 elsewhere [11-13]. In brief, children with TBI were recruited on presentation to the Melbourne Royal  
85 Children's Hospital's emergency department. Patients were eligible if they: i) were aged between  
86 five and 16 years at the time of injury, ii) had recorded evidence of both a closed-head injury and  
87 also two post-concussive symptoms (such as headaches, dizziness, nausea, irritability, poor  
88 concentration), iii) had sufficient detail within medical records to determine injury severity (e.g.,  
89 Glasgow Coma Scale (GCS; Teasdale and Jennett [14]), neurological and radiological findings), iv) had  
90 no prior history of neurological or neurodevelopmental disorder, non-accidental injuries or previous  
91 TBI, and v) were English speaking.

## 92 **MRI Acquisition**

93 MRI were acquired sub-acutely after injury (<90 days post-injury). MRI were acquired at 3T on a  
94 Siemens Trio scanner (Siemens Medical Systems, Erlangen, Germany) using a 32-channel matrix  
95 head coil. The acquisition included a sagittal three-dimensional (3D) MPRAGE [TR = 1900 ms; TE =  
96 2.15 ms; IR prep = 900 ms; parallel imaging factor (GRAPPA) 2; flip angle 9 degrees; BW 200 Hz/Px;  
97 176 slices; resolution 1 × 1 × 1 mm] and sagittal 3D T2-FLAIR non-selective inversion preparation  
98 SPACE (Sampling Perfection with Application-optimised Contrast using different flip-angle Evolution)  
99 [TR = 6000 ms; TE = 405 ms; inversion time (TI) = 2100 ms; water excitation; GRAPPA Pat2; 176  
100 slices; 1 × 1 × 1 mm resolution matched in alignment to the 3D T1w sequence].

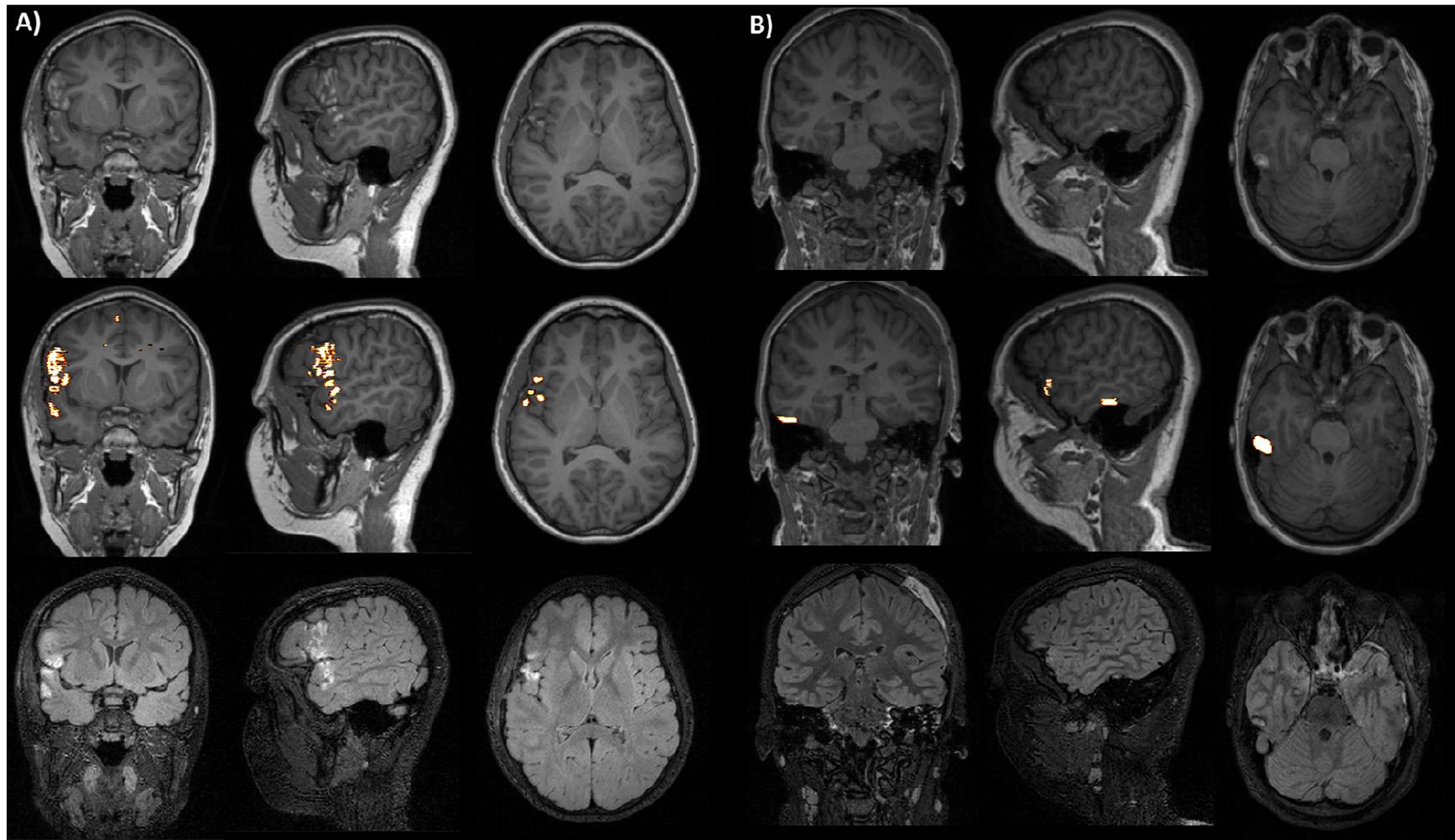
## 101 **Lesion Delineation and production of lesion masks**

102 A trained rater (JN) visually inspected participant's MRI for pathology, scrolling through contiguous  
103 axial slices of the 3D T1w and FLAIR images independently. Identified lesions were segmented  
104 manually (by JN) by drawing binary lesion masks on each of the T1w and FLAIR MRI scans using the

105 ROI editor tool in MRtrix3.0 [15]. A second rater (AS) visually confirmed these masks. For this study,  
106 only the lesion masks drawn on the T1w MRI scan were utilised/necessary.

107 TBI lesions are typically extremely heterogenous in appearance on MRI scans [16]. Increasingly,  
108 white matter hyperintensities (WMH i.e. Leukoaraiaosis) and enlarged perivascular spaces (EPVS i.e.  
109 Virchow-Robin spaces) are recognised as potential biomarkers for an increased risk of later emerging  
110 diseases/diagnoses [16-19]. Consequently, we also segmented these abnormalities.

111 For lesion segmentation, the following criteria were applied; i) abnormality visible on >3 contiguous  
112 axial slices (i.e.  $\geq 1.5$  mm), ii) visible WMHs should appear hyperintense on FLAIR and hypointense on  
113 T1w MRI [19], iii) visible EPVS should appear hypointense on both T1w and FLAIR MRI, and be  
114 tubular shaped depending on lesion orientation [19]. Examples of these lesion masks can be seen in  
115 Figure 1A+B.



116

117 Figure 1. sMRI of two (A + B) patients with TBI with lesions. Top row: Unedited T1w image with visible gross pathology. Middle row: T1w image with  
118 overlaid binary lesion mask (mask is interpolated for visual purposes). Bottom row: Unedited FLAIR image.

119 **Proposed pipeline for processing sMRI with visible pathology**

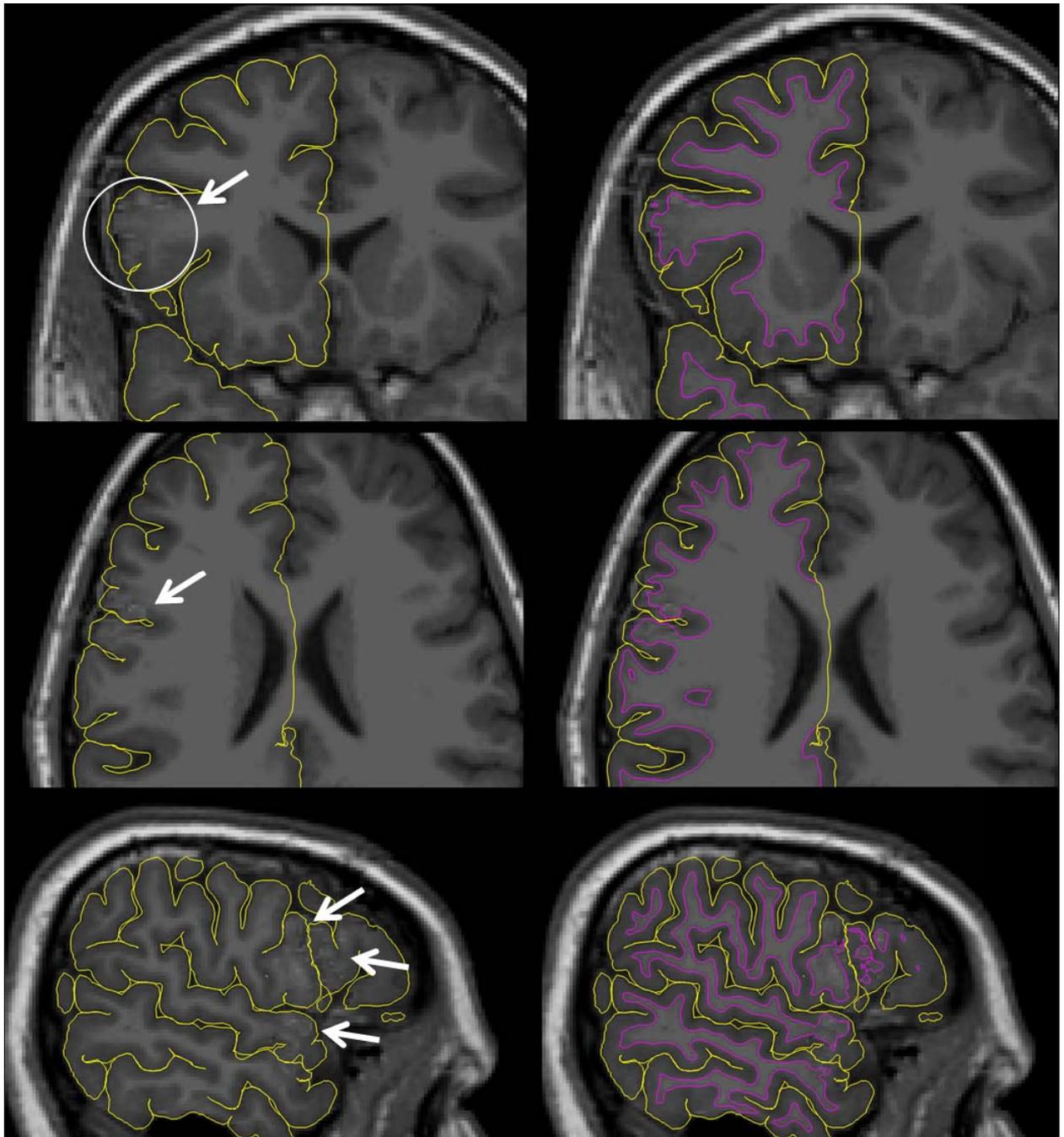
120 The current paper utilises a new approach to Freesurfer segmentation in the presence of focal  
121 lesions in the cortical GM ribbon, involving both pre- and post- processing procedures.

122 **a) Pre-processing: Enantiomorphic Lesion-Filling**

123 Lesion masks were used for pre-processing of MRI, to perform anatomically-informed lesion-filling,  
124 using the enantiomorphic approach of Nachev, Coulthard [20]. Briefly, this approach robustly  
125 registers the lesioned hemisphere to the contra-lesioned hemisphere and ‘fills’ the lesioned voxels  
126 (indicated by the lesion-mask) with subject-level, ‘healthy-appearing’ signal intensities from the  
127 homologous region in the contra-lesional hemisphere. The output is an MRI with approximately-  
128 typical T1w voxel-intensities, in place of the lesioned tissue. This step was conducted using the  
129 normalisation tool of the BCBlab (Brain Connectivity and Behaviour) [21]. We only performed these  
130 lesion-filling processes for those cases with frank GM lesions. Some recent evidence suggests that  
131 filling approaches for white matter lesions results in no changes to Freesurfer derived volume  
132 estimates [22]. This, and the fact that geometric inaccuracies due to WMH can be corrected using  
133 manual editing approaches as per Freesurfer’s guidelines, means that we focus on an approach to  
134 tackle GM lesion.

135 The enanteomorphically filled T1w image was then processed using the standard Freesurfer (6.0)  
136 cortical surface segmentation pipeline (using the –FLAIRpial commands) [23]. By processing this  
137 image rather than the original T1w MRI, we mitigate potential contrast-induced errors that may  
138 contribute to lesion-induced error/bias in structural segmentation, even in the contralesional  
139 hemisphere. An example of the resultant surfaces can be seen in Figure 2.

140



141

142 Figure 2. Freesurfer plotted surfaces overlaid on enanteomorphically filled T1w sMRI. Arrows  
143 highlight the filled areas. First column: Only pial surface visualised. Second column: Both pial and  
144 white surfaces visualised. The original lesion is identified in the MRI displayed in Figure 1A.

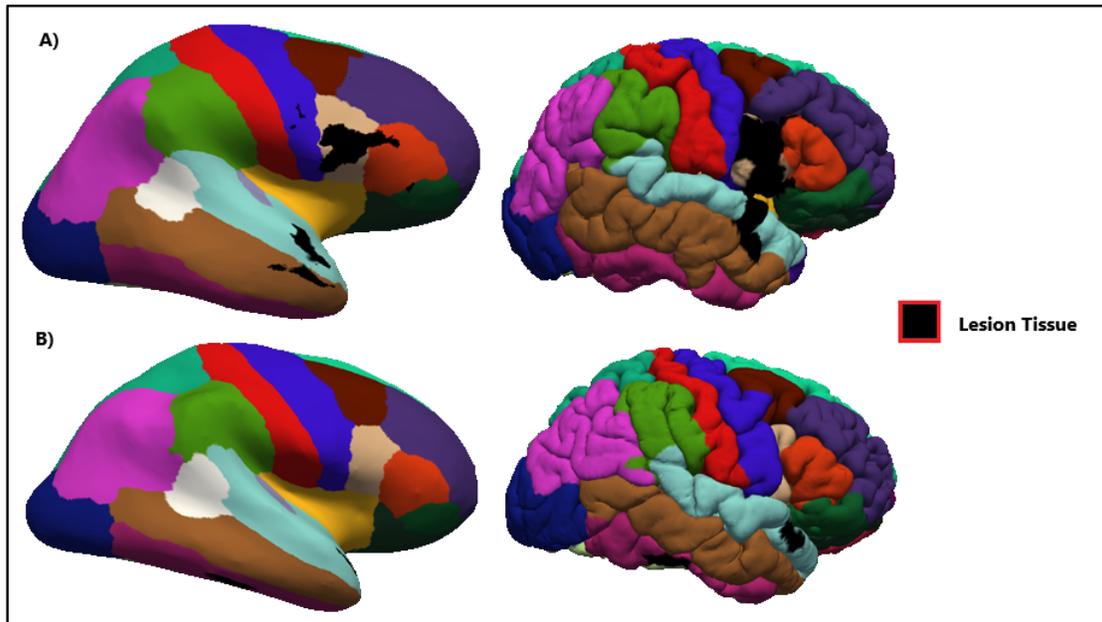
145 b) Post-processing: Lesion Labelling

146 Post-processing of the produced surface segmentations was also conducted. Lesion masks were  
147 projected onto the generated cortical surface vertices and the projected lesion ROI was filled (to  
148 avoid holes due to voxel-vertex mismatches). These approaches were adapted from scripts made  
149 available by the Multi-centre Epilepsy Lesion Detection (MELD) project [24, 25].

150 Individual-subject surface parcellations were masked using these surface projected lesion masks.  
151 Thus, region labels completely or partially occluded by lesion tissue were overwritten with the lesion  
152 label. Morphometric measures (such as cortical thickness, volume, etc) were calculated using  
153 standard Freesurfer approaches but, due to relabelling, no measures will be taken from tissue which  
154 is a) lesioned within the original image and b) filled with estimated voxel intensities in the  
155 enantomorphically filled T1w images. For those regions that are completely occluded by the lesion  
156 label, morphological measures are reported as zero however, these can be recoded as 'not a  
157 number' (NaN) to ensure that they are not included in analyses and bias results.

158 The output of this pipeline is therefore cortical morphometric estimates for ROIs not contaminated  
159 by lesion tissue or the wider error associated with the processing of lesioned T1w images. A visual  
160 depiction of this can be seen in Figure 3.

161



162

163 Figure 3. ROI parcellation based upon the Desikan-Killany atlas [26] for two lesion cases projected  
164 onto both the inflated (first column) and regular (second column) surface models for each subject.  
165 The lesion label can also be seen Subjects A) and B) relate to the corresponding subjects in Figure 1.

166 **Quality Assessments**

167 Quality was visually assessed for all cases, based upon the delineation of both pial and white matter  
168 surfaces generated by Freesurfer. This allowed identification of cases where manual edits needed to  
169 be undertaken, and were carried out per standard Freesurfer protocols.

170 **Results**

171 **Lesion Identification**

172 Of the pTBI cases (N=114), we identified n=14 as having visible pathology definable as lesion tissue  
173 within the cortical GM ribbon. These cases were selected and underwent our lesion correction  
174 procedure

175 **QA**

176 On initial visual inspection of the output of the lesion-correction procedure, two cases were initially  
177 excluded, due to the bilaterality of contusions in near-homologous regions, leading to unsuccessful  
178 lesion filling using the enanteomorphic approach. Five cases required no manual edits, although two  
179 of these had poor reconstruction focal in relation to the filled lesion tissue. However, as per  
180 Diamond and colleagues [2, 5], to reduce subjectivity in manual edits for these areas, no edits were  
181 undertaken, as these would later be labelled as lesion tissue. Seven cases underwent manual edits to  
182 improve surface placement. Of these, three cases were acceptable after editing. The four cases  
183 which were rejected were due to surface reconstruction issues that were related to motion present  
184 within the image, rather than due to the lesion correction procedure. The final number of cases  
185 processed with the lesion correction procedure was eight (out of 14).

186 **Post-Hoc Volume analyses**

187 We conducted post-hoc analyses to assess whether the lesion correction methodology impacted  
188 cortical volumes and cortical thickness in the contralesioned hemisphere. Differences in both  
189 measures were found in both the contralesioned and lesioned hemispheres when comparing cases  
190 which have been corrected with the lesion pipeline versus those that have not been corrected with  
191 the lesion pipeline. Further details and figures can be found in supplementary materials. This  
192 suggests that that this method may in fact be correcting contralesioned hemisphere biases  
193 introduced by gross GM pathology found in [6].

194

195 **Discussion**

196 The current paper highlights an alternative method to Diamond and colleagues [2, 5] for optimising  
197 the structural segmentation of sMRI in individuals with pTBI, specifically designed to mitigate the  
198 more global segmentation biases which are introduced in these lesioned cases [6]. This approach is  
199 being utilised in our lab enable the inclusion of lesioned cases into studies of the neuroanatomical  
200 correlates of cognitive impairment post paediatric TBI. The benefit of such methodologies is to  
201 simultaneously a) increase power to detect relevant case-control differences or brain-behaviour  
202 relationships and b) increase generalisability of findings to a wider spectrum of pathology. These  
203 benefits come about via the ability to include cases with visible pathology on MRI which previously  
204 would be precluded from analysis pipelines.

205 As outlined above, our approach differs from that of Diamond and colleagues [2, 5] in one major  
206 aspect. This is specifically the pre-processing of cases using an enantomorphic filling approach. This  
207 was to tackle global biases in morphometric measures beyond the site of specific pathology (such as  
208 the contralesioned hemisphere) which may not be reduced by local correction methods. However, it  
209 may be argued that Diamond and colleagues' [2, 5] approach better meets the first benefit outlined  
210 above, to increase statistical power through inclusion of cases. This is because, whilst our approach  
211 led to the inclusion of 8/14 cases which would have otherwise be excluded in future analyses,  
212 Diamond and colleagues [5] retained 87/98 MRIs for which Freesurfer surfaces were successfully  
213 generated and corrected using their methodology. However, this is not a direct comparison. It is  
214 important to remember that, in this study, cases were also excluded were removed for typical  
215 reasons not associated with the lesion, in this case motion artefact. This is unsurprising given the  
216 fact that this study utilised a paediatric population where movement artefact is more common [27],  
217 whereas Diamond [2, 5] investigated an adult cohort.

218 Whilst Freesurfer is primarily an automated tool for the processing of sMRI and generating surface-  
219 based models of cortical morphometry, these surface models can be and are frequently utilised  
220 further in the analysis pipeline of functional MRI and diffusion MRI studies of TBI. Therefore,  
221 effective methods to ensure inclusion of cases with visible lesions on MRI and reduce the biases that  
222 these lesions can introduce into these automated pipelines will have wide-reaching implications for  
223 the field. It is also important to note that, whilst TBI pathology is particularly heterogeneous, the  
224 effects of visible pathology on neuroimaging pipelines is not limited to TBI and thus the methods  
225 outlined here may also find use in other neurological disease (i.e. multiple sclerosis or  
226 tuberous sclerosis).

227 This pipeline has been used in our lab in published works [28] allowing the inclusion of cases that  
228 typically may not have been able to be reliably included in research paradigms. A recent paper has  
229 outlined a similar approach termed "virtual brain grafting (VBG)" [29], which has subsequently been

230 utilised in structural connectomic approaches to understanding the individualised effects of TBI on  
231 the brain [30]. Given the VGB approach was validated on “synthetic” MRI, it would be prudent to  
232 test the two methodologies head-to-head in future research.

### 233 **Limitations**

234 One limitation of the current approach is its ability to handle bilateral lesions in homologous brain  
235 regions, put simply lesions to both hemispheres in approximately similar anatomical locations. This is  
236 because the enantomorphic filling approach would attempt to ‘fill’ abnormal voxel intensities (the  
237 lesion) with abnormal, lesion voxel intensities from the ipsilateral hemisphere, rather than healthy  
238 tissue as intended. Two cases were excluded from the current study for this reason. Adopters of this  
239 approach must also be aware that, for the largest lesions, statistical analysis approaches, that  
240 robustly deal with missing data may be required. Fully occluded ROIs will be labelled as NaN, which  
241 is likely to be treated as missing data. to include them as zero makes an implicit assumption about  
242 the underlying tissue, that it is functionally and neuroanatomically irrelevant. These are important  
243 methodological considerations for the application of these approaches.

244 One potential issue with both proposed approaches is the reliance on accurate segmentations or  
245 ‘masks’ of lesion present on MRI. This can be difficult and time consuming, requires considerable  
246 expertise to be considered as ‘gold standard’ in the field (normally a trained neuroradiologist) with  
247 no ‘ground truth’ with which to truly assess performance. This can make approaches requiring such  
248 lesion masks prohibitive to; larger studies with a greater number of lesions to segment; labs without  
249 such neuroradiological expertise and most importantly clinical applications/practice where  
250 considerable ‘pre-processing’ would be required for new and incoming cases.

251 It is difficult to ascertain whether the approach outlined by Diamond and colleagues, or the  
252 approach outlined in the current study best optimises the Freesurfer pipeline for use in TBI cases  
253 with visible pathology, as there exists no ‘ground truth’ in these circumstances. Both approaches  
254 likely go some way in addressing the potentially biases introduces when Freesurfer is used to  
255 process MRI of patients with TBI and visible gross pathology. However, we would argue that our  
256 approaches goes further, trying to mitigate further biases which we have observed previously in  
257 these types of analyses [6]. Future work directly compare these methodologies using a more  
258 pragmatic approach, such as evaluating which method allows us to recover the most accurate  
259 predictions of cognitive functioning post-injury, or even predict injury-severity. These may be more  
260 clinically useful assessments of these methodologies in the absence of ‘ground truth’.

261 In brief, we have presented here an adapted pipeline for processing sMRI of patients who have  
262 experienced a TBI using the Freesurfer software package. This approach aims to mitigate potential

263 lesion-induced biases that exist beyond the locality of the pathological tissue, even in the  
264 contralesioned hemisphere.

265

### 266 **Acknowledgments and Funding**

267 The authors thank Dr Jan Novak and Dr Adam Shephard for their technical assistance in providing  
268 the lesion masks necessary for this study. This work was supported by a European Research Council  
269 (ERC) - Consolidator Grant (ERC-CoG) to A.G.W [grant number 682734]. This work was conducted  
270 whilst DGK was supported by a studentship from Aston University, School of Life and Health Science.  
271 and a Birmingham Childrens' Hospital Research Foundation Grant (BCHRF) to Dr Sukhvir Wright and  
272 A.G.W. DGK is currently funded by a grant from Aston University, College of Health and Life Sciences  
273 to J.N.

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